

DUE DILIGENCE CONFERENCE



Omni Charlotte Hotel
132 E. Trade Street
Charlotte, NC 28202

November 3 -5, 2023

B/Ds, ADVISORS & FAMILY OFFICES REGISTRATION

Complete and submit this form to confirm your attendance.
The following information will be used for correspondence and printed materials only.

Broker Dealer: _____ **Advisor:** _____ **Family Office:** _____

B/D, Advisor, FO Name _____

Attendee: _____

Title: _____

Address: _____

City, State: _____ **Zip:** _____

Phone: _____ **E-Mail:** _____

Number of Registered Representatives and/or Advisors in your firm: _____

(This information is REQUIRED for internal use only and will not be published.)

Room Reservations - 2 room nights complimentary

Check-In Date: _____ **Check-Out Date:** _____

Occupancy: Single Double Room

Bed Size: King Two Double Beds

Spouse/Guest: Yes No

If "Yes", Name of Spouse/Guest: _____

There is a \$250.00 fee for each attending Guest.

Additional Firm Participant (if applicable)

\$725.00 participation fee for each Additional Attendee and \$250.00 for each attending Guest.

B/D or Advisor Name: _____

Attendee: _____

Title: _____

Address: _____

City, State: _____ **Zip:** _____

Phone: _____ **Email:** _____

ROOM RESERVATIONS: \$232.00 + taxes per night at attendee's expense.

Additional Room Required: Yes: No:

Check-In Date: _____ **Check-Out Date:** _____

Occupancy: Single Double Room

Bed Size: King Two Double Beds

Spouse/Guest: Yes No

Guest Name: _____

Conference Details

ATTIRE: Attire for all Conference functions will be Business Casual.

MEALS: All meals are complimentary during the Conference.

ROOM RESERVATIONS: Reservations are made by TNDDA and are complimentary for ONE Attending Member for the nights of November 3 & 4. Rooms are available Thursday, November 2nd for early arrival and are at expense of Attendee. Group rate of \$232.00 + taxes will hold for 3 days before & after Conference subject to availability. Check-in after 4:00PM, check-out before 11:00AM.

ROOM CANCELLATIONS: 72 hours notice is required to **AVOID** cancellation penalty of one night's room and tax fee.

ADDITIONAL ATTENDEES: There is a \$725.00 participation fee for additional attendees. Hotel reservations & airfare are the responsibility of additional attendees.

GUESTS: There is a \$250.00 fee for each attending Guest.

GROUND TRANSPORTATION: Ground Transportation to and from the airport and/or the Conference Hotel is the responsibility of the Attendee.

AIRFARE REIMBURSEMENT: Airfare reimbursed for one person per Firm up to \$600.00.



DUE DILIGENCE CONFERENCE

Omni Charlotte Hotel
132 E. Trade Street, Charlotte, NC 28202

November 3-5, 2023

Airfare Reimbursement Form

Roundtrip Coach Airfare to and from Charlotte, NC.
One Attendee per Firm, up to \$600.00

Ground transportation and parking not included in Reimbursement.

Total to be reimbursed \$ _____
(Airfare Receipt must be attached)

Make Check Payable to: _____
(Individual or Company Name)

Name

Company Name

Address

City, State, Zip Code

“ShopTalk” begins Friday, November 3, 1:30 PM.
Conference begins Friday, November 3, 3:00 PM.
Conference concludes Sunday, November 5, by 1:00 PM.

Please make airfare reservations accordingly!



BROKER DEALER / ADVISORY FIRM / FAMILY OFFICE

GUIDANCE

Attendance: When making travel plans TNDDA strongly suggests that you attend our 1:30PM Shop Talk Series on Friday. The Conference begins in full at 3:00PM on Friday and ends at approximately 12:00 noon on Sunday.

“Shop Talk”: Participation in “Shop Talk” at 1:30pm on Friday is highly recommended.

Conference Introduction: We also highly recommend that participants attend the Conference Introduction, beginning at 3:00pm on Friday, in order to get the full benefit from the Conference.

Participation: Attend, be on time, and wear your name tag to all functions: Sponsor presentations, closed Broker Dealer, Advisory Firm and Family Office sessions, exhibit times, meals and networking events.

Closed Sessions: Complete and turn in the green questionnaire forms for all four Closed Broker Dealer, Advisory Firm and Family Office sessions.

Private Events: To ensure equal access to all attendees we ask Broker Dealers, Advisory Firms and Family Offices not to accept invitations to attend private events during our Conference events.

Unregistered Guest: Please do not bring unregistered guests to any Conference functions, meals or networking events.

Travel Reimbursement Form: Return Travel Reimbursement Form with accompanying receipt as soon as possible, after the Conference, if you have not already included them with your Registration Form.

Questions: Should you have any questions during the registration process, do not hesitate to contact us.